

U.S. Listed Closed-End Funds and BDCs Activist and Key Corporate Actions

April 2020



© 2020 AST Fund Solutions, LLC. DISCLAIMER: The data provided in this report comes from filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC is not responsible for any errors, omissions or inaccuracies contained in this report or any liabilities that may result from reliance thereon. Nothing in this report should be considered or used as legal or financial advice. All copyrights are owned by their respective authors.

Activist & Key Corporate Actions

Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

4/9/2020 ANNOUNCEMENT

Western Asset Emerging Markets Debt Fund Inc. announced April 9 that the 2020 Annual Meeting of Stockholders of the Fund originally scheduled for Friday, April 17, 2020 at 10:00 a.m. Eastern Time has been postponed. The Board of Directors of the Fund will set a new record date for determining stockholders entitled to vote at the postponed Meeting. The Fund will provide notice to stockholders with the new record date and the date, time and place of the postponed Meeting pursuant to the Fund's bylaws and applicable law.

BLACKROCK DEBT STRATEGIES FUND (DSU)

4/17/2020 TENDER OFFER

Keywords: TENDER OFFER

BlackRock Debt Strategies Fund, Inc. (NYSE: DSU) announced April 17 final results of the Fund's tender offer for up to 5% of its outstanding shares of common stock. The Tender Offer, which expired at 5:00 p.m. Eastern time on April 16, 2020, was oversubscribed. Therefore, in accordance with the terms and conditions of the Tender Offer, the Fund will purchase Shares from all tendering stockholders on a pro rata basis, after disregarding fractions, based on the number of Shares properly tendered. Number of Shares Tendered Number of Tendered Shares to be Purchased Pro-Ration Factor Purchase Price* 17,034,684 2,470,791 0.14507726 \$10.2018 *Equal to 98% of the Fund's net asset value per Share as of April 16, 2020 (the day on which the Tender Offer expired).

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

ClearBridge MLP and Midstream Fund Inc. (CEM)

4/2/2020 ANNOUNCEMENT

Keywords: MERGER

On February 18, 2020, Franklin Resources, Inc. and Legg Mason, Inc. announced that they have entered into a definitive agreement for Franklin Resources to acquire Legg Mason in an all-cash transaction. The Funds' investment manager, Legg Mason Partners Fund Advisor, LLC, is a wholly-owned subsidiary of Legg Mason and would become a wholly-owned subsidiary of Franklin Resources as a result of the transaction. The Funds are sub-advised by other affiliates of Legg Mason that also would be acquired by Franklin Resources as a result of the transaction. The transaction is subject to approval by Legg Mason's shareholders and customary closing conditions, including receipt of applicable regulatory approvals. Subject to such approvals and the satisfaction of the other conditions, the transaction is expected to be consummated in the latter part of 2020.

Under the Investment Company Act of 1940, as amended, consummation of the transaction will result in the automatic termination of the management and subadvisory agreement(s). Therefore, each Fund's Board of Directors has approved new management and subadvisory agreement(s) that will be presented to the stockholders of the Fund for their approval. Additional informational materials will be mailed to stockholders. In connection with the proposal to approve the new investment management contract for each Fund (any new sub-advisory contract(s), if applicable), each Fund intends to file a definitive proxy statement with the Securities and Exchange Commission (the "SEC"). Stockholders are advised to read their Fund's proxy statement when it becomes available because it will contain important information. When filed with the SEC, the proxy statement and other documents filed by the Funds will become available for free on the SEC website, www.sec.gov. Copies of the proxy statement will also be mailed to each stockholder of record as of the record date for the special stockholder meeting.

An investment in a Fund involves risk, including loss of principal. Investment return and the value of shares will fluctuate. The rapid and global spread of a highly contagious novel coronavirus respiratory disease, designated COVID-19, first detected in China in December 2019, has resulted in extreme volatility in the financial markets and severe losses; reduced liquidity of many instruments; restrictions on international and, in some cases, local travel, significant disruptions to business operations (including business closures); strained healthcare systems; disruptions to supply chains, consumer demand and employee availability; and widespread uncertainty regarding the duration and long-term effects of this pandemic. Some sectors of the economy and individual issuers have experienced particularly large losses. In addition, the COVID-19 pandemic may result in a sustained economic downturn or a global recession, domestic and foreign political and social instability, damage to diplomatic and international trade relations and increased volatility and/or decreased liquidity in the securities markets. The ultimate economic fallout from the pandemic, and the long-term impact on economies, markets, industries and individual issuers, are not known. The U.S. government and the Federal Reserve, as well as certain foreign governments and central banks, are taking extraordinary actions to support local and global economies and the financial markets in response to the COVID-19 pandemic, including by pushing interest rates to very low levels. This and other government intervention into the economy and financial markets to address the COVID-19 pandemic may not work as intended, particularly if the efforts are perceived by investors as being unlikely to achieve the desired results. The COVID-19 pandemic could adversely affect the value and liquidity of a Fund's investments, and negatively impact the Fund's performance. In addition, the outbreak of COVID-19, and measures taken to mitigate its effects, could result in disruptions to the services provided to a Fund by its service providers...For more information, please call Investor Relations: 1-888-777-0102, or consult the Funds' web site at www.lmcef.com.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

DUFF & PHELPS UTILITY & CORPORATE BOND TRUST (DUC)

4/14/2020	ANNOUNCEMENT		KARPUS INVESTMENT MANAGEMENT			
-----------	--------------	--	------------------------------	--	--	--

Keywords: SHAREHOLDER PROPOSAL RESULTS

Duff & Phelps Utility and Corporate Bond Trust Inc. (DUC) announced April 14 preliminary results of voting at its annual meeting of shareholders today. Item 1: Election of directors Geraldine M. McNamara and David J. Vitale were reelected as directors to serve for a term ending in 2023.

Item 2: Non-binding shareholder proposal

A majority of votes were cast AGAINST the non-binding shareholder proposal asking the board of directors to promptly consider authorizing a self-tender offer for all outstanding common shares of the Fund at or close to net asset value, and, if more than 50% of the Fund's outstanding shares are tendered, to cancel the tender offer and take the steps necessary to liquidate, merge or convert the Fund to an open-end mutual fund or exchange traded fund. Accordingly, the proposal did not pass AST: The proposal was submitted by Karpus.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

EATON VANCE FLOATING-RATE INCOME PLUS FUND (EFF)

4/21/2020	PROXY		Saba Capital Management, L.P.			
-----------	-------	--	-------------------------------	--	--	--

Keywords: SHAREHOLDER PROPOSAL RESULTS

Saba Capital Management, L.P. and certain investment funds managed by it (collectively "Saba") today announced that based on proxies submitted to the independent Inspector of Election for the Eaton Vance Floating-Rate Income Plus Fund (NYSE: EFF) 2020 Annual Meeting of Shareholders, results indicate that EFF shareholders overwhelmingly elected all of Saba's nominees – David Basile, Peter Borish and Charles I. Clarvit – to the Board of Trustees (the "Board") and voted in favor of Saba's shareholder proposal to improve corporate governance by declassifying the Board.

Declassify the Board of Trustees

For 3,562,361
Against 161,900
Abstain 303,902

Nominees:

Basile 3,019,055

Faust Jr. 672,257

Borish 3,023,090

Frost 669,003

Clarvit 3,019,055

Wennerholm 661,843

Boaz Weinstein, Founder and Chief Investment Officer of Saba, said, "Shareholders have sent a clear message to management and the Board that change is required to improve EFF's performance and corporate governance. In decisively voting to remove Eaton Vance Chairman and CEO, Thomas E. Faust Jr., from the Board, install three new independent trustees, and ask that the entire board be held accountable annually, shareholders have demonstrated their desire for increased oversight and fresh perspectives in the boardroom.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

"We are grateful that shareholders share our view that the Board as formerly constituted was not acting in their best interests, and that new trustees and a declassified Board will allow for more productive shareholder engagement and help EFF achieve its optimal valuation. We are confident that with David, Peter and Charles in the boardroom, shareholders will finally reap the benefits of their investment and EFF will be held to the highest corporate governance standards.

"Over the past few years, closed-end fund shareholders have suffered from large discounts to NAV while closed-end fund trustees have gone to great lengths to entrench themselves and protect the fees of investment advisors. The results of the EFF election should clearly convey to all closed-end fund managers that closed-end fund shareholders are embracing Saba's call for improved corporate governance and reduced discounts to NAV. We thank all shareholders for their tremendous support."

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

FIRST TRUST/ABERDEEN GLOBAL OPPORTUNITY INCOME FUND (FAM)

4/2/2020 ANNOUNCEMENT

First Trust/Aberdeen Global Opportunity Income Fund (the "Fund") (NYSE: FAM) announced April 2 that the Fund's Annual Meeting of Shareholders that was postponed until April 7, 2020 (the "Annual Meeting") will be held exclusively via AST Fund Solutions, LLC's ("AST") web-based portal (a "Virtual" meeting).

Due to the public health and safety concerns related to the coronavirus (COVID-19) pandemic, recommendations from the federal government, and the shelter in place order from the Governor of the State of Illinois, the Fund's Annual Meeting will be held exclusively as a Virtual meeting. As previously announced, the Annual Meeting will be held on April 7, 2020 at 12:00 p.m. Central Time. Online access to the Annual Meeting will begin at 11:30 a.m. Central Time. Shareholders will not be able to attend the Annual Meeting in person.

Attending the Virtual Meeting as a Shareholder of Record

Any shareholder of record as of the close of business on January 6, 2020 (i.e., you held your shares in your own name as reflected in the Fund's records), is entitled to participate in and vote at the Annual Meeting or any postponement or adjournment thereof. You can attend the Annual Meeting by accessing <https://web.lumiagm.com/279307086> and entering the 15-digit control number on the proxy card you previously received and the meeting password, fam2020.

Registering to Attend the Annual Meeting as a Beneficial Owner

If you were a beneficial owner of record as of January 6, 2020 (i.e., you held your shares in an account at a brokerage firm, bank or other similar agent), you will need to provide proof of your power (legal proxy) which you must obtain from your intermediary reflecting your Fund holdings. You may forward an e-mail from your intermediary or attach an image of your legal proxy and transmit it via e-mail to AST at attendameeting@astfinancial.com and you should label the e-mail "Legal Proxy" in the subject line. Requests for registration must be received by AST no later than 12:00 p.m. Central Time, on Monday, April 6, 2020. You will then receive confirmation of your registration, with a control number by e-mail from AST. At the time of the meeting, go to <https://web.lumiagm.com/279307086> and enter your control number and the meeting password, fam2020.

Voting Shares

If you have already voted your shares in advance of the Annual Meeting, you do not need to vote again unless you wish to change your vote. If you are a record shareholder or you have registered as a beneficial owner and provided the requisite legal proxy, you can vote your shares electronically at the Annual Meeting by clicking on the "Cast Your Vote" link on the web-portal meeting center site. If you are a record shareholder you will need the unique control number from your proxy card included with the Proxy Statement. If you are a registered beneficial owner you will need the control number provided to you by AST.

Whether or not you plan to attend the Annual Meeting, if you have not already voted, we urge you to vote FOR the election of Robert F. Keith as a Class I Trustee and

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

AGAINST a non-binding shareholder proposal and submit your proxy in advance of the Annual Meeting by one of the methods described in the proxy materials for the Annual Meeting. The Proxy Statement is available on the Internet at <https://www.ftportfolios.com/Retail/Cef/CefFundNews.aspx?Ticker=FAM>. The proxy card included with the proxy materials previously distributed will not be updated to reflect that the Annual Meeting will be held as a Virtual meeting only, and the proxy card may continue to be used to vote your shares in connection with the Annual Meeting. Please contact AST at (800) 713-9960 with any questions regarding accessing Annual Meeting.

4/9/2020	ANNOUNCEMENT		KARPUS INVESTMENT MANAGEMENT			
----------	--------------	--	------------------------------	--	--	--

Keywords: SHAREHOLDER PROPOSAL RESULTS

First Trust/Aberdeen Global Opportunity Income Fund (NYSE: FAM) announced April 9 that, based on voting results, shareholders of the Fund voted at the annual meeting of shareholders to elect Robert F. Keith as a Class I Trustee to continue to serve as a member of the Board of Trustees of the Fund for a three-year term. James A. Bowen, Niel B. Nielson, Richard E. Erickson and Thomas R. Kadlec are current and continuing Trustees of the Fund.

Additionally, shareholders voted at the annual meeting against a non-binding proposal from Karpus Management requesting the Board of Trustees to consider authorizing a self-tender offer for all outstanding common shares of the Fund at or close to net asset value. The shareholder's proposal also stated that if more than 50% of the Fund's outstanding common shares were tendered, the tender offer should be cancelled and the Board should take steps necessary to liquidate, merge, or convert the Fund to an open-end mutual fund or exchange traded fund. According to the vote tally, the majority of shares voted at the annual meeting voted against the shareholder proposal and as a result, the shareholder proposal did not pass. Abstentions and broker non-votes were not considered votes cast and, therefore had no effect on the approval of the shareholder's proposal.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

Golub Capital BDC, Inc. (GBDC)

4/1/2020 RIGHTS OFFERING

Keywords: RIGHTS OFFERING

Golub Capital BDC, Inc. (NASDAQ: GBDC) announced April 1 that its Board of Directors has approved the terms of a transferable rights offering to purchase shares of its common stock. Wells Fargo Securities, LLC will act as the Dealer Manager for the offering....The Company will issue to stockholders of record as of 5:00 p.m., New York City time, on April 8, 2020 transferable rights to subscribe for an aggregate of up to 33,451,902 shares of the Company's common stock. Each record date stockholder will be issued one transferable right for every four shares of the Company's common stock owned on the record date. The rights entitle each holder to acquire at the subscription price one share of our common stock for every right held (the "Primary Subscription"). An application has been submitted for the rights to be listed for trading on the Nasdaq Global Select Market under the symbol "GBDCR" with trading anticipated to begin on or around April 9, 2020. Rights may be exercised at any time during the subscription period, which commences on April 9, 2020, and ends at 5:00 p.m., New York City time, on May 6, 2020, the expiration date, unless the subscription period is extended by the Company (the "Expiration Date"). Record date stockholders who fully exercise all rights issued to them are entitled to subscribe for additional shares of the Company's common stock that were not subscribed for by other holders (in the Primary Subscription, on the terms and subject to the conditions set forth in the prospectus for the offering, including as to pro-ration. In addition, any rights holders who were not record date stockholders who exercise rights are entitled to subscribe for such additional shares that are not otherwise subscribed for by record date stockholders pursuant to their over-subscription privilege, on the terms and subject to the conditions set forth in the prospectus for the offering, including as to pro-ration. These over-subscription privileges are referred to as the "Over-Subscription Privilege").

Certain affiliates of Golub Capital LLC, an affiliate of our investment adviser, GC Advisors LLC (collectively, "Golub Capital"), have indicated that they intend to over-subscribe and to make a total investment of up to \$125 million in shares of our common stock pursuant to exercise of the primary subscription and/or the Over Subscription Privilege. Any over-subscription by affiliates of Golub Capital will be effected only after the pro rata allocation of shares pursuant to the Over-Subscription Privilege to (1) record date stockholders of the Company (other than affiliates of Golub Capital) who fully exercise all rights issued to them and (2) any rights holder who was not a record date stockholder of the Company (other than an affiliate of Golub Capital) who exercises rights.

The subscription price for the shares to be issued pursuant to the rights will be 92.5% of the volume-weighted average of the sales prices of the Company's shares of common stock on the Nasdaq Global Select Market for the five consecutive trading days ending on the Expiration Date. Because the subscription price will be determined on the Expiration Date, rights holders will generally not know the subscription price at the time of exercise and will be required initially to pay for both the shares subscribed for pursuant to their Primary Subscription rights and, if eligible, any additional shares subscribed for pursuant to the Over-Subscription Privilege at the estimated subscription price disclosed in the prospectus for this offering (and may be required to pay an additional amount or receive a partial refund, depending on the final subscription price). The Company has the ability to terminate the rights offering at any time prior to delivery of the rights and the shares of our common stock offered thereby. If this rights offering is terminated, all rights will expire without value, and no amounts paid to acquire rights on the Nasdaq Global Select Market or otherwise would be returned.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

MACQUARIE/FIRST TRUST GLOBAL INFRASTRUCTURE/UTIL DIV & INC FUND (MFD)

4/16/2020 ANNOUNCEMENT

Macquarie/First Trust Global Infrastructure/Utilities Dividend & Income Fund (NYSE: MFD), First Trust Energy Income and Growth Fund (NYSE American: FEN), First Trust Enhanced Equity Income Fund (NYSE: FFA), First Trust Mortgage Income Fund (NYSE: FMF), First Trust/Aberdeen Emerging Opportunity Fund (NYSE: FEO), First Trust Specialty Finance and Financial Opportunities Fund (NYSE: FGB), First Trust Energy Infrastructure Fund (NYSE: FIF), First Trust MLP and Energy Income Fund (NYSE: FEI), First Trust Intermediate Duration Preferred & Income Fund (NYSE: FPF), First Trust New Opportunities MLP & Energy Fund (NYSE: FPL) and First Trust Dynamic Europe Equity Income Fund (NYSE: FDEU) (each a "Fund" and collectively the "Funds") announced today that the Joint Annual Meetings of Shareholders of the Funds to be held on Wednesday, April 22, 2020, at 12:00 noon Central Time (collectively, the "Annual Meeting") will be held exclusively in a telephonic format (a "telephonic meeting"). The Annual Meeting will be held exclusively as a telephonic meeting due to the public health and safety concerns related to the coronavirus (COVID-19) pandemic, recommendations from the federal government, and the shelter in place order from the Governor of the State of Illinois. Shareholders will not be able to attend the Annual Meeting in person.

MVC CAPITAL, INC. (MVC)

4/27/2020	FILING	13D/A	WYNNEFIELD PARTNERS SMALL CAP VALUE LP	4,503,137	25.4	Not Indicated
-----------	--------	-------	--	-----------	------	---------------

Keywords: *DISSIDENT*

On April 24, 2020, Wynnefield Partners Small Cap Value, L.P. I. and group delivered a letter to the MVC Capital, Inc. ("MVC") notifying it that the group's intend to nominate and seek to elect Arthur D. Lipson as an additional third member of the group's slate of nominees for election to the MVC's Board of Directors ("the Board") at MVC's 2020 Annual Meeting of Stockholders (the "2020 Annual Meeting").

Previously, on April 21, 2020, the group provided notice to MVC of its intent to (i) nominate and seek to elect John D. Chapman and Ron Avni to the Board; and (ii) present the stockholder proposal previously set forth in the group's letter to MVC dated January 17, 2020, at the Annual Meeting.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

Nuveen All Cap Energy MLP Opportunities Fund (JMLP)

4/2/2020 ANNOUNCEMENT

The following Nuveen closed-end funds (each, a “Fund” and collectively, the “Funds”) announced today that each Fund’s 2020 Annual Meeting of Shareholders (the “Meeting”) has been postponed and that the meeting will be held as a virtual meeting:

Nuveen All Cap Energy MLP Opportunities Fund (JMLP)
 Nuveen Core Equity Alpha Fund (JCE)
 Nuveen Credit Opportunities 2022 Target Term Fund (JCO)
 Nuveen Credit Strategies Income Fund (JQC)
 Nuveen Diversified Dividend and Income Fund (JDD)
 Nuveen Dow 30SM Dynamic Overwrite Fund (DIAX)
 Nuveen Emerging Markets Debt 2022 Target Term Fund (JEMD)
 Nuveen Energy MLP Total Return Fund (JMF)
 Nuveen Floating Rate Income Fund (JFR)
 Nuveen Floating Rate Income Opportunity Fund (JRO)
 Nuveen Georgia Quality Municipal Income Fund (NKG)
 Nuveen Global High Income Fund (JGH)
 Nuveen High Income 2020 Target Term Fund (JHY)
 Nuveen High Income November 2021 Target Term Fund (JHB)
 Nuveen High Income 2023 Target Term Fund (JHAA)
 Nuveen Intermediate Duration Municipal Term Fund (NID)
 Nuveen Intermediate Duration Quality Municipal Term Fund (NIQ)
 Nuveen Maryland Quality Municipal Income Fund (NMY)
 Nuveen Minnesota Quality Municipal Income Fund (NMS)
 Nuveen Missouri Quality Municipal Income Fund (NOM)
 Nuveen Mortgage and Income Fund (JLS)
 Nuveen Multi-Market Income Fund (JMM)
 Nuveen Municipal 2021 Target Term Fund (NHA)
 Nuveen NASDAQ 100 Dynamic Overwrite Fund (QQQX)
 Nuveen Preferred & Income Opportunities Fund (JPC)
 Nuveen Preferred & Income Securities Fund (JPS)
 Nuveen Preferred and Income 2022 Term Fund (JPT)
 Nuveen Preferred and Income Term Fund (JPI)

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
			Nuveen Real Asset Income and Growth Fund (JRI)			
			Nuveen Real Estate Income Fund (JRS)			
			Nuveen S&P 500 Buy-Write Income Fund (BXXM)			
			Nuveen S&P 500 Dynamic Overwrite Fund (SPXX)			
			Nuveen Senior Income Fund (NSL)			
			Nuveen Short Duration Credit Opportunities Fund (JSD)			
			Nuveen Tax-Advantaged Dividend Growth Fund (JTD)			
			Nuveen Tax-Advantaged Total Return Strategy Fund (JTA)			
			Nuveen Virginia Quality Municipal Income Fund (NPV)			

In light of public health concerns regarding the ongoing coronavirus disease 2019 (COVID-19) pandemic, the Meeting originally scheduled to be held on Wednesday, April 8, 2020 will be postponed to Wednesday, April 22, 2020 at 2:00 p.m., Central time. The January 13, 2020 record date for determining shareholders entitled to vote at the Meeting remains unchanged. Additionally, the Meeting will be held in a virtual meeting format only. Shareholders will not be able to attend the Meeting in person. These changes have been made out of an abundance of caution and are intended to support the health and well-being of shareholders.

Any shareholder of record of a Fund as of the close of business on January 13, 2020 is entitled to notice of, and to vote at, the Meeting or any postponement or adjournment thereof. Shareholders may participate in the Meeting at www.meetingcenter.io/267997406 by entering the control number found on the shareholder's proxy card and password NUV2020 at the date and time of the Meeting. Shareholders may vote during the Meeting by following the instructions that will be available on the Meeting website during the Meeting.

If a shareholder holds shares through an intermediary, such as a bank or broker, the shareholder must register in advance to attend the Meeting. To register, the shareholder must submit proof of his or her proxy power (legal proxy) reflecting his or her Fund holdings along with his or her name and email address to Computershare Fund Services, the Funds' tabulator. Shareholders may email an image of the shareholder's legal proxy to legalproxy@computershare.com. Requests for registration must be received no later than 5:00 p.m., Central time, on Monday, April 20, 2020. Shareholders will receive a confirmation email from Computershare of the shareholder's registration and a control number that will allow the shareholder to vote at the Meeting.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

Nuveen Closed-End Funds

4/2/2020 ANNOUNCEMENT

In light of public health concerns regarding the ongoing coronavirus disease 2019 (COVID-19) pandemic, the Meeting originally scheduled to be held on Wednesday, April 8, 2020 will be postponed to Wednesday, April 22, 2020 at 2:00 p.m., Central time. The January 13, 2020 record date for determining shareholders entitled to vote at the Meeting remains unchanged. Additionally, the Meeting will be held in a virtual meeting format only. Shareholders will not be able to attend the Meeting in person. These changes have been made out of an abundance of caution and are intended to support the health and well-being of shareholders.

Any shareholder of record of a Fund as of the close of business on January 13, 2020 is entitled to notice of, and to vote at, the Meeting or any postponement or adjournment thereof. Shareholders may participate in the Meeting at www.meetingcenter.io/267997406 by entering the control number found on the shareholder's proxy card and password NUV2020 at the date and time of the Meeting. Shareholders may vote during the Meeting by following the instructions that will be available on the Meeting website during the Meeting.

If a shareholder holds shares through an intermediary, such as a bank or broker, the shareholder must register in advance to attend the Meeting. To register, the shareholder must submit proof of his or her proxy power (legal proxy) reflecting his or her Fund holdings along with his or her name and email address to Computershare Fund Services, the Funds' tabulator. Shareholders may email an image of the shareholder's legal proxy to legalproxy@computershare.com. Requests for registration must be received no later than 5:00 p.m., Central time, on Monday, April 20, 2020. Shareholders will receive a confirmation email from Computershare of the shareholder's registration and a control number that will allow the shareholder to vote at the Meeting.

Whether or not a shareholder plans to attend the Meeting, the Funds urge shareholders to vote and authorize the shareholder's proxy in advance of the Meeting by one of the methods described in the proxy materials for the Meeting. The Funds' Joint Proxy Statement is available on the Internet at <https://www.nuveenproxy.com/Closed-End-Fund-Proxy-Information/>. The proxy card included with the proxy materials previously distributed will not be updated to reflect the change in date or the new format of the Meeting, and may continue to be exercised to vote a shareholder's shares in connection with the Meeting.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

Nuveen Energy MLP Total Return Fund (JMF)

4/20/2020 **ANNOUNCEMENT**

Nuveen Energy MLP Total Return Fund (NYSE: JMF) and the Nuveen All Cap Energy MLP Opportunities Fund (NYSE: JMLP) announced that both funds intend to liquidate and distribute their net assets to shareholders. Upon completing a review of potential actions in response to the unprecedented downturn in the midstream MLP sector, each fund's Board of Trustees concluded that liquidation and closure was in the best interest of shareholders.

The funds will begin the orderly liquidation of their assets, determine and pay, or set aside an amount at least equal to, all known or reasonably ascertainable liabilities and obligations. As the funds' portfolio securities continue to be sold, the funds may deviate from their investment objectives and policies. The funds will make one or more liquidating distributions. It is anticipated that liquidating distributions will begin being paid on May 8, 2020.

The funds have fixed the close of business on May 8, 2020, as the effective date for determining the common shareholders of the funds entitled to receive liquidating distributions. The funds will continue trading on the New York Stock Exchange through May 4, 2020 and will be suspended from trading before the open of trading on May 5, 2020, after which time there will be no secondary market for the funds' shares.

Shareholders may recognize gain or loss for U.S. tax purposes as a result of the liquidations. Nuveen does not provide tax advice; investors should consult a professional tax advisor regarding their specific tax situation.

In connection with the liquidation, the funds will accelerate payment of their previously announced regular, quarterly distributions. The following dates apply to the distributions previously announced on April 2, 2020:

Record Date
April 15, 2020

Ex-Dividend Date
April 14, 2020

Payable Date
May 1, 2020

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

Nuveen High Income 2020 Target Term Fund (JHY)

4/15/2020 ANNOUNCEMENT

Keywords: LIQUIDATION

The Nuveen High Income 2020 Target Term Fund (NYSE: JHY) has entered the wind-up period in anticipation of its termination date. The fund is a "target term" fund that will cease its investment operations and liquidate its portfolio on November 1, 2020 and distribute the net proceeds to shareholders, unless the term is extended for a period of up to six months by a vote of the fund's Board of Trustees.

The fund has the investment objective to provide a high level of current income and to return the fund's original \$9.85 net asset value to shareholders at termination. Recent market conditions have materially increased the risk associated with achieving the Fund's objective to return Original NAV. This objective is not a guarantee and is dependent on a number of factors including the extent of market recovery and the cumulative level of income retained in relation to cumulative realized portfolio gains net of losses. Under normal circumstances, the fund invests at least 80% of its managed assets in corporate debt securities and separately, at least 80% of its managed assets in securities that, at the time of investment, are rated below investment grade or unrated but judged by the fund's subadviser to be of comparable quality. Below investment grade refers to securities rated BB+/Ba1 or lower.

During the wind-up period the fund may deviate from its investment objectives and policies, and may invest up to a 100% of its managed assets in high quality, short-term securities. High quality, short-term securities for this fund include securities rated investment grade (BBB-/Baa3 or higher or unrated but judged by the fund's subadviser to be of comparable quality) with a final or remaining maturity of 397 days or less. Consequently, for the remainder of its term, the fund will invest at least 80% of its managed assets in (i) below investment grade securities; and (ii) short-term investment grade securities that have a final or remaining maturity of 397 days or less, so long as the maturity of any security in the fund does not occur later than May 1, 2021. These expanded investment parameters currently will provide the fund additional flexibility to reinvest the proceeds of matured or called portfolio securities in higher quality, short-term securities. As the fund gets closer to its termination date, the fund will begin to affirmatively transition its remaining below investment grade portfolio holdings to such high quality, short-term securities to enhance its ability to efficiently liquidate its portfolio at termination.

The fund has also completed the process of redeeming and retiring its leverage in anticipation of its termination date.

As described in the fund's prospectus, the general shortening of the time-to-maturity of the fund's portfolio securities as the fund approaches its termination date, the elimination of leverage, and the repositioning of the fund's portfolio into higher-quality securities as part of the wind-up process, will tend to reduce interest rate risk and credit risk, and improve portfolio liquidity, but will also tend to reduce amounts of income available to pay as dividends to common shareholders.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

RiverNorth Flexible Municipal Income Fund, Inc. (RFM)

4/1/2020 OFFERING

Keywords: ITEM 4- PURPOSE OF TRANSACTION

Initial public offering. Trading started March 27. RiverNorth Capital Management, LLC announced the launch of the RiverNorth Flexible Municipal Income Fund, Inc., a new municipal focused closed-end fund. RiverNorth will act as the investment adviser to the Fund and MacKay Shields LLC will act as subadviser. This is the third fund co-managed by the investment firms. The Fund will use the load waived pricing structure pioneered by RiverNorth. As a result, investors will not pay any upfront expenses, which allows the Fund to be priced at \$20.00 per share with an initial net asset value (NAV) of \$20.00 per share. Trading on the New York Stock Exchange began on March 27, 2020 under the symbol "RFM."

TORTOISE ENERGY INFRASTRUCTURE CORPORATION (TYG)

4/14/2020 ANNOUNCEMENT

Tortoise on April 14 announced completing leverage reductions for TYG, NTG and TTP, which results in each company being in compliance with all applicable 1940 Act leverage tests, as well as the covenants on its debt agreements and the terms of its preferred stock. Leverage has been reduced by utilizing cash raised through trading activity in a manner that minimized prepayment premiums in order to maximize shareholder value. Cash remains on company balance sheets that could be used to further reduce leverage. At this point, all Tortoise closed-end funds are in compliance with the 1940 Act leverage tests. For more details, see the release.

4/20/2020 OTHER

Keywords: Reverse Stock Split

Tortoise announced that the funds' Board of Directors has approved reverse stock splits for TYG, NTG, TTP and NDP as outlined below. The funds anticipate completing the reverse stock splits prior to the open of trading on the New York Stock Exchange (the "NYSE") on May 1, 2020 for common stockholders of record as of the close of business on April 30, 2020.

The funds' common shares are expected to begin trading on a split-adjusted basis when the market opens on May 1, 2020. Common shares of TYG, NTG, TTP and NDP will continue to trade on the NYSE under their current symbol, but will trade under new CUSIP numbers, as listed below.

Symbol: TYG

Fund: Tortoise Energy Infrastructure Corp.

Reverse stock split: 1-for-4

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
			Old CUSIP: 89147L100			
			New CUSIP: 89147L886			
			Symbol: NTG			
			Fund: Tortoise Midstream Energy Fund, Inc.			
			Reverse Stock Split: 1-for-10			
			Old CUSIP: 89148B101			
			New CUSIP: 89148B200			
			Symbol: TTP			
			Fund: Tortoise Pipeline & Energy Fund, Inc.			
			Reverse Stock Split: 1-for-4			
			Old CUSIP: 89148H108			
			New CUSIP: 89148H207			
			Symbol: NDP			
			Fund: Tortoise Energy Independence Fund, Inc.			
			Reverse Stock Split: 1-for-8			
			Old CUSIP: 89148K101			

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

New CUSIP: 89148K200

As a result of the reverse stock splits, every four outstanding common shares of TYG will be converted into one common share, every ten outstanding common shares of NTG will be converted into one common share, every four outstanding common shares of TTP will be converted into one common share, and every eight outstanding common shares of NDP will be converted into one common share.

The reverse stock splits will decrease the number of the funds' shares of common stock outstanding and potentially increase the market price per share by a proportional amount. Neither the funds' portfolio holdings nor the total value of stockholders investments in the funds will be affected as a result of the reverse stock splits. The reverse stock splits are intended to increase the market price per share of the funds and broaden the range of potential investors in shares of the funds' common stock, thereby potentially improving the market for, and liquidity of, shares of the funds' common stock.

No fractional shares will be issued as a result of the reverse stock splits, except for registered stockholders participating in the funds' dividend reinvestment programs. Fractional shares that may result from the reverse stock splits will be aggregated and sold on the NYSE by the funds' transfer agent and the proceeds will be distributed pro rata among stockholders who would otherwise have received fractional shares in the reverse stock splits. The reverse stock splits will not impact any stockholder's ownership in the funds or voting power, except for minimal effects resulting from the treatment of fractional shares.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

VOYA PRIME RATE TRUST (PPR)

4/14/2020	PROXY		Saba Capital Management, L.P.			
-----------	-------	--	-------------------------------	--	--	--

The Fund, with Saba buying, raised to 60% O/S to get elected....
Item 5.03 Amendments to Articles of Incorporation or By-Laws; Change in Fiscal Year.

On April 13, 2020, the Board of Trustees (the "Board," and each member thereof, a "Trustee") of Voya Prime Rate Trust (the "Trust" or "PRT") amended and restated its existing Amended and Restated By-Laws (as so amended and restated, the "By-Laws"), effective immediately.

The By-Laws were amended as follows:

- Election of Trustees: Previously, the By-Laws required that Trustees be elected by a plurality of shares voting. Article 11, Section 3 of the By-Laws was amended to require that Trustees be elected by 60% of outstanding shares entitled to vote.
- Clarification of record date in the context of adjournments: Previously, the By-Laws provided that the Trustees may fix a record date of no more than 90 days before the date of any meeting of shareholders as the record date for determining the shareholders having the right to notice of and to vote at such meeting and any adjournment thereof. Article 11, Sections 3 of the By-Laws was amended to clarify that the Trustees need not set a new record date in connection with any adjourned session or sessions of a shareholder meeting.
- Conduct of Meeting: Previously, the By-Laws provided that the chair of the Board will serve as the chairperson of any meeting of shareholders and that the secretary or assistant secretary of the Trust shall serve as the secretary at such meeting. Article 11 of the By-Laws was amended to include a new Section 4 clarifying that the chairperson of a meeting of shareholders will be responsible for the order of business and rules and procedures (including manner of voting and conduct of discussion), and shall also have the power to adjourn the meeting on his or her own motion without a vote of shareholders at the meeting.
- Advance Notice: Article 11 of the By-Laws was amended to include a new Section 7, providing that a shareholder may raise for consideration, at an annual meeting of shareholders, a proposal that is a proper subject for consideration at the meeting. A shareholder wishing to raise such a proposal at an annual shareholder meeting is required to provide advance notice of the nature of the proposal and the basis for the proposal, as well as other information that may assist the Board and shareholders generally in deciding whether to vote for the proposal. Such notice must be provided to the Trust not less than 90 or more than 120 days prior to the anniversary of the mailing of the prior year's proxy materials. Similar requirements apply for any trustee nominee put forward by a shareholder.
- Information to be Provided. The By-Laws require that, in connection with the nomination of trustees, a shareholder must provide certain information regarding each nominee, including biographical information and information required to be disclosed in a proxy statement. In connection with all shareholder proposals (including trustee nominations), the notice must also include a description of and the text of the proposal, a written statement of the reasons why the shareholder favors the proposal and other information.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

- Current Year. The By-Laws permit proper notice (and the related information required under the By-Laws and described above) to be provided up until 5:00 p.m., Eastern time on May 8, 2020 for this year's meeting.
- Remote Meetings of Shareholders: Article 11, Section 2 of the By-Laws was amended to permit shareholder meetings to be held solely or partially by remote communications to comply with any legal limitations on large gatherings of persons or under circumstances where the Board or the Trust's officers determine it is appropriate to do so in the public interest to ensure the safety of participants.

4/28/2020	PROXY	PREC 14A	Saba Capital Management, L.P.	35,915,577	24.30	Not Indicated
-----------	-------	----------	--------------------------------------	------------	-------	---------------

Keywords: **DISSIDENT PROXY**

Saba Capital Management, L.P. ("Saba") filed PREC14A for Voya Prime Rate Trust ("Voya") to nominate its own slate of Trustees at Voya's 2020 annual meeting of shareholders. In addition, Saba submitted proposals 1) to terminate the Management Agreement between the Voya and Voya Investments, LLC, and 2) to request the Board consider authorizing a self-tender offer for 40% of the outstanding shares. Saba also proposed two corporate governance changes. Saba disclosed a combined holding of 35,915,577 shares in Voya, representing roughly 24.30% of the outstanding shares.

Western Asset Corporate Loan Fund Inc. (TLI)

4/5/2020	OWNERSHIP	13D/A	BULLDOG INVESTORS	1,209,488	12.17%	Increase
----------	-----------	-------	--------------------------	-----------	--------	----------

BUYING to 4/3.

4/7/2020	PROXY	PREC 14A	BULLDOG INVESTORS
----------	-------	----------	--------------------------

Phil and Bulldog Investors launched a campaign on April 7, filing 16 preliminary proxies targeting Legg Mason CEFs. The main point of the campaign was laid out in Phil's proxy statements: "The Board of Directors of each of the Funds has determined to present a proposal to approve a new management agreement with Legg Mason Partners Fund Advisor, LLC (the "Proposal") and, depending on the Fund, one or more subadvisory agreements with a subadvisor (or subadvisors). We are soliciting a proxy to vote your shares AGAINST the new management agreement. Since Legg Mason is the parent company of all of the subadvisors, we do not believe it is necessary to solicit a proxy to vote your shares on any proposal(s) to approve any subadvisory agreement(S). REASON FOR THE SOLICITATION The common shares of each of the Funds for which we are soliciting proxies have generally traded at a discount to their net asset value ("NAV"). We believe that if shareholders of each of those Funds vote against the Proposal, that would send a message to the Board that shareholders of each of those Funds want an opportunity to realize a price at or close to NAV for their shares, e.g., by converting those Funds to open-end funds or liquidating them."

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Activist & Key Corporate Actions

April 2020



Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
------------	------	--------	----------	---------------------------	---------	-----------

WESTERN ASSET EMERGING MARKETS DEBT FUND (EMD)

4/9/2020 ANNOUNCEMENT

Western Asset Emerging Markets Debt Fund Inc. announced April 9 that the 2020 Annual Meeting of Stockholders of the Fund originally scheduled for Friday, April 17, 2020 at 10:00 a.m. Eastern Time has been postponed. The Board of Directors of the Fund will set a new record date for determining stockholders entitled to vote at the postponed Meeting. The Fund will provide notice to stockholders with the new record date and the date, time and place of the postponed Meeting pursuant to the Fund's bylaws and applicable law.

WESTERN ASSET GLOBAL HIGH INCOME FUND (EHI)

4/24/2020	OWNERSHIP	13D/A	<i>Saba Capital Management, L.P.</i>	8,866,220	<i>20.1</i>	Increase
-----------	-----------	-------	--------------------------------------	-----------	-------------	----------

Keywords: BOUGHT

WESTERN ASSET MORTGAGE OPPORTUNITY FUND (DMO)

4/9/2020 ANNOUNCEMENT

Western Asset Mortgage Opportunity Fund Inc. (DMO) announced April 9 that the 2020 Annual Meeting of Stockholders of the Fund originally scheduled for Friday, April 17, 2020 at 10:00 a.m. Eastern Time has been postponed. The Board of Directors of the Fund will set a new record date for determining stockholders entitled to vote at the postponed Meeting. The Fund will provide notice to stockholders with the new record date and the date, time and place of the postponed Meeting pursuant to the Fund's bylaws and applicable law.

The data provided in the report is based on information contained in filings with the U.S. Securities & Exchange Commission and press releases. Some information presented by AST Fund Solutions, LLC is in summary form, and does not represent complete statements. AST Fund Solutions, LLC shall not be held responsible for the accuracy or completeness of any of the information presented. The information contained in this report is not intended as, and should not be considered, investment advice, but is for informational purposes only.

Entries in RED indicate a Dissident Action. Entries in BLUE indicate an institutional position over 10%



Tender Offers by Closed-End Funds

Fund Name (Following Pressure from Activists Shown with Grey Background)	TIC	Source	Expiration Date (SORTED)	Shares	Shares Purchased (% O/S)	Amount Tendered % O/S	Proration Factor	Total Value of Shares Purchased (\$ Millions)	Purchase Price Per Share	Price as % of NAV or Liquidation Preference
BlackRock Debt Strategies Fund, Inc.	DSU	PR 3/7/20	4/16/2020	COM	Up To 5%	TBD	TBD	TBD	TBD	98.00%
Eaton Vance Municipal Bond Fund	EIM	PR 1/21/20	TBD	COM	Conditional up to 5%					98.00%
BlackRock Credit Allocation Income Trust	BTZ	PR 12/19	2/3/2020	COM	10%	39.71%	25.00%	\$155.28	\$14.95	98.00%
TOTAL (2020)	1							\$155.28		
Eaton Vance Municipal Bond Fund	EIM	PR 12/16	12/13/2019	COM	5%	30.7%	16.26%	\$54.47	\$13.66	98.00%
Invesco Senior Income Trust	VVR	PR 10/23	12/5/2019	COM	15%	58.4%	25.70%	\$123.68	\$4.58	98.50%
Invesco Dynamic Credit Opp. Fund	VTA	PR 10/23	12/5/2019	COM	15%	50.2%	29.90%	\$135.04	\$12.15	98.50%
Invesco High Income Trust II	VLT	PR 10/23	12/5/2019	COM	20%	39.9%	50.10%	\$24.57	\$15.13	98.50%
Nuveen Mortgage Opportunity Term Fund	JLS	PR 5/23	10/07/19	COM	64.6%	64.6%	100%	\$240.29	23.1002	100.00%
Lazard World Dividend & Income Fund, Inc.	LOR	PR 7/25	08/21/19	COM	20.0%	52.00%	38.65%	\$13.82	\$10.04	98.00%
Delaware Enhanced Global Dividend and Income Fund	DEX	PR 7/3	06/27/19	COM	5.0%	26.38%	18.96%	\$6.88	\$10.89	98.00%
Aberdeen Emerging Markets Equity Income Fund, Inc.	AEF	PR 6/21	06/17/19	COM	15.00%	65.10%	23.06%	\$70.40	\$7.86	98.00%
Eaton Vance Municipal Bond Fund	EIM	PR 3/27	05/17/19	COM	10.0%	37.82%	26.44%	\$119.96	\$13.374	98.00%
BlackRock Debt Strategies Fund, Inc.	DSU	PR 3/15	04/15/19	COM	5.0%	60.50%	12.10%	\$31.61	\$11.99	98.00%
High Income Securities Fund	PCF	PR 3/19	03/18/19	COM	56.96%	56.96%	100.00%	\$68.18	\$9.25	99.00%
Nuveen Taxable Municipal Income Fund	NBB	PR 1/2	02/12/18	COM	20.0%	36.55%	54.72%	\$142.68	\$20.8631	100.00%
The China Fund, Inc.	CHN	PR 1/14	02/05/19	COM	30.0%	73.69%	40.71%	\$97.21	\$20.61	99.00%
TOTAL (2019)	13							\$1,128.78		

* Excludes interval funds and BDCs. Updated through 20 March 2020

DISCLAIMER: This report references and is based on current public information that we consider reliable, including data and statements made by third parties, but we do not represent that it is accurate or complete, and should not be relied on as such. AST Fund Solutions, LLC is not responsible for any errors, omissions or inaccuracies contained in this report or any liabilities that may result from reliance thereon. Nothing in this report should be considered or used as legal or financial advice. All copyrights are owned by their respective authors.

© 2020 AST Fund Solutions, LLC



Rights Offerings by Closed-End Funds

TIC	Fund	Expiration Date (SORTED)	Date Announced	Shares	Transferable?	Rights Received (Per Share)	Price (New Share for # Rights)	Final Subscription Price	Value/Gross Proceeds (\$Millions)
BCV	Bancroft Fund Ltd.	4/21/2020	3/6/2020	COM	YES	1 for 1	1 for 3	3R+\$20.5	TBD
ASG	Liberty All-Star Growth Fund	3/27/2020	12/9/2019	COM	NO	1 for 1	1 for 5	\$4.34	\$13.45
RQI	Cohen & Steers Quality Income Realty Fund Inc	2/13/2020	1/7/2020	COM	YES	1 for 1	1 for 3	\$14.12	\$350
2020							TOTALS	2	\$363.5
OPP	RiverNorth/DoubleLine Strategic Opportunity Fund, Inc.	12/10/2019	11/1/2019	COM	YES	1 for 1	1 for 3	\$16.21	\$38.40
RIV	RiverNorth Opportunities Fund, Inc.	11/1/2019	9/20/2019	COM	YES	1 for 1	1 for 3	\$16.20	\$35.00
ACP	Aberdeen Income Credit Strategies Fund	11/13/2019	10/16/2019	COM	YES	1 for 1	1 for 3	\$10.17	\$44.30
GDV	Gabelli Dividend & Income Trust	11/15/2019	9/23/2019	COM	YES	1 for 1	10 + \$20	\$20.00	\$164.84
OCCI	OFS Credit Company, Inc.	8/27/2019	8/5/2019	COM	NO	1 for 1	1 for 2	\$16.50	\$8.90
GLQ	Clough Global Equity Fund	8/23/2019	7/8/2019	COM	YES	1 for 1	1 for 5	\$11.24	\$24.79
GLV	Clough Global Dividend and Income Fund	8/23/2019	7/8/2019	COM	YES	1 for 1	1 for 5	\$10.42	\$14.60
SZC	Cushing® Renaissance Fund	7/18/2019	6/7/2019	COM	YES	1 for 1	1 for 3	\$13.15	\$34.21
NHF	Nexpoint Strategic Opportunities Fund	5/22/2019	4/11/2019	COM	NO	1 for 1	1 for 3	\$17.77	\$239.87
RCG	RENN Fund, Inc.	2/8/2019	12/19/2018	COM	NO	1 for 1	1 for 3	\$1.47	\$2.19
2019							TOTALS	9	\$568.7
2018							TOTALS	14	\$1,551.0
2017							TOTALS	10	\$1,255.4
2016							TOTALS	3	\$222.5

Sources: fund press releases and filings. Updated through 1 April 2020.

DISCLAIMER: This report references and is based on current public information that we consider reliable, including data and statements made by third parties, but we do not represent that it is accurate or complete, and should not be relied on as such. AST Fund Solutions is not responsible for any errors, omissions or inaccuracies contained in this report or any liabilities that may result from reliance thereon. Nothing in this report should be considered or used as legal or financial advice. All copyrights are owned by their respective authors.

© 2020 AST Fund Solutions, LLC



Closed-End Fund IPOs*



TIC/Qtr	Fund Name	Investment Focus	IPO Price Per Share	Gross Proceeds (Millions)
DLY	DoubleLine Yield Opportunities Fund	Debt-Global	\$20.00	\$920.0
BMEZ	Blackrock Health Sciences Trust II	Equity-Health & Biotech	\$20.00	\$2,100.0
2020			2020 TOTAL	\$3,020.0
AIO	AllianzGI Artificial Intelligence & Technology Opportunities Fund	Specialty	\$20.00	\$615.0
FINS	Angel Oak Financial Strategies Income Term Trust	Debt-Specialty	\$20.00	\$215.0
BSTZ	BlackRock Science and Technology Trust II	Equity-Specialty	\$20.00	\$1,400.0
CPZ	Calamos Long/Short Equity & Dynamic Income Trust (CPZ)	Equity/Specialty	\$20.00	\$365.00
EIC	Eagle Point Income Company Inc.	Other - CLOs	\$19.89	\$27.09
HGLB	Highland Global Allocation Fund	Asset Allocation	Conversion	\$0.0
NMCO	Nuveen Municipal Credit Opportunities Fund	Debt-Muni	\$15.00	\$705.0
NRGX	PIMCO Energy and Tactical Credit Opportunities Fund	Other	\$20.00	\$800.0
RMM	RiverNorth Managed Duration Municipal Income Fund, Inc.	Debt-Muni	\$20.00	\$365.0
RSF	RiverNorth Marketplace Lending Corporation	Debt-Loans/Floating Rate	Listed	\$0.0
TEAF	Tortoise Essential Assets Income Term Fund	Equity-Infrastructure/Utilities	\$20.00	\$260.0
VCIF	Vertical Capital Income Fund	Debt-Mortgage	Listed	\$0.0
2019			2019 TOTAL	\$4,752.1
JHAA	Nuveen High Income 2023 Target Term Fund	Debt-Corp High Yield	\$10.00	\$70.0
OCCI	OFS Credit Company, Inc.	Other - CLOs	\$20.00	\$50.0
RMI	RiverNorth Opportunistic Municipal Income Fund, Inc.	Debt-Muni	\$20.00	\$119.0
2018			2018 TOTAL	\$239.0
			2017 TOTAL	\$1,362.5
			2016 TOTAL	\$1,826.9
			2015 TOTAL	\$2,389.2
			2014 TOTAL	\$4,348.2
			2013 TOTAL	\$15,202.4

* Listed CEFs only. Excludes continuously offered funds. Updated to 1 April 2020.

© 2020 AST Fund Solutions, LLC

DISCLAIMER: This report references and is based on current public information that we consider reliable, including data and statements made by third parties, but we do not represent that it is accurate or complete, and should not be relied on as such. AST Fund Solutions is not responsible for any errors, omissions or inaccuracies contained in this report or any liabilities that may result from reliance thereon. Nothing in this report should be considered or used as legal or financial advice. All copyrights are owned by their respective authors.