



U.S. Listed Closed-End Funds and BDCs Activist and Key Corporate Actions *August 2019*

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Activist & Key Corporate Actions



August 2019

Filed Date	Type	Filing	Investor	Shares Beneficially Owned	% Owned	Ownership
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BLACKROCK MUNI NEW YORK INTERMEDIATE DURATION FUND (MNE)

8/28/2019 PRESS RELEASE Saba Capital Management, L.P.

Saba Capital Management, L.P. issued an open letter on Aug. 28 to the Boards of BlackRock Muni New York Intermediate Duration Fund, Inc. (NYSE: MNE), BlackRock New York Municipal Bond Trust (NYSE: BQH) and Blackrock Credit Allocation Income Trust (NYSE: BTZ): "Weeks have now passed since the completion of the annual meetings of BlackRock Muni New York Intermediate Duration Fund, Inc. (MNE), BlackRock New York Municipal Bond Trust (BQH) and Blackrock Credit Allocation Income Trust (BTZ). The shareholders of these funds have been waiting for the results of the proposals that are crucial to the future management of these funds. Unfortunately for BlackRock, not reporting the results won't change the results. Based on proxies submitted to the independent Inspector of Elections, Saba believes all three of its shareholder proposals passed with resounding support. This includes one proposal calling for a tender of 50% of the shares outstanding in BlackRock Muni New York Intermediate Duration Fund, Inc. (MNE). If more than 50% of MNE's outstanding shares are submitted for tender, the tender offer should be cancelled and the board should take the steps necessary to liquidate or convert MNE into an open-end mutual fund. On Page 3 of BlackRock's "2019 Proxy Voting Guidelines", BlackRock makes it clear that boards should implement shareholder proposals that have received a majority of votes cast. We thank the shareholders of these three funds for their trust. Closed-end fund shareholders deserve the same rights as any other shareholder, and BlackRock should not subvert their will."

CLOUGH GLOBAL DIVIDEND AND INCOME FUND (GLV)

8/29/2019 RIGHTS OFFERING

Keywords: RIGHTS OFFERING

Clough Global Dividend and Income Fund (GLV) announced Aug. 29 the successful completion of its rights offering. The Fund will issue a total of 1,401,287 new common shares as a result of the rights offering which closed on August 23. The Fund received subscriptions for more than 170% of the original offering. Accordingly, the shares issued as part of the over-subscription privilege of the rights offering will be allocated pro-rata among record date shareholders who over-subscribed based on the number of rights originally issued to them by the Fund. The subscription price of \$10.42 per share was established on the Expiration Date based upon a formula equal to 85% of the reported net asset value on the Expiration Date.

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CLOUGH GLOBAL EQUITY FUND (GLQ)

8/29/2019 OFFERING

Clough Global Equity Fund (GLQ) announced Aug. 29 the successful completion of its rights offering. The Fund will issue a total of 2,205,138 new common shares as a result of the rights offering which closed on August 23, 2019. The Fund received subscriptions for more than 250% of the original offering. Accordingly, the shares issued as part of the over-subscription privilege of the rights offering will be allocated pro-rata among record date shareholders who over-subscribed based on the number of rights originally issued to them by the Fund. The subscription price of \$11.24 per share was established on the Expiration Date based upon a formula equal to 95% of the reported market price per share, based on the average of the last reported sales price of a common share on the NYSE American for the five trading days preceding the Expiration Date.

Eagle Growth and Income Opportunities Fund (EGIF)

8/21/2019 OTHER

Eagle Growth and Income Opportunities Fund (EGIF) announced on Aug. 21 pending changes to the Fund's current investment advisory and sub-advisory arrangements. The Fund's Board approved THL Credit Advisors LLC to serve as the investment adviser to the Fund, replacing Four Wood Capital Advisors LLC. The Board also approved the retention of Eagle Asset Management, Inc., the Fund's current sub-adviser, under the supervision of THL Credit. The changes will be effective August 31, 2019. The changes to the Fund's advisory arrangements are intended to reduce expenses and enhance the Fund's original investment strategy. THL Credit will commence serving as the investment adviser, and Eagle will continue serving as sub-adviser, to the Fund on August 31, 2019 pursuant to interim advisory and subadvisory agreements that were approved by the Board. The Board is expected to consider approving a new, non-interim advisory agreement between the Fund and THL Credit and a new, non-interim subadvisory agreement between THL Credit and Eagle, each of which will be subject to approval by the Fund's shareholders later this year. Changes to the Fund's advisory arrangements are expected to lower the Fund's overall expense ratio. Under both the interim advisory agreement and the proposed new advisory agreement, the annual fee rate payable by the Fund is expected to remain at 0.85% of the average daily value of the Fund's Managed Assets, which had been reduced from 1.05% of the average daily value of the Fund's Managed Assets effective May 24, 2018. Eagle will continue to receive, under both subadvisory agreements, a monthly fee computed at the annual rate of 50% of the advisory fees paid to THL Credit. These fees will be paid by THL Credit to Eagle. In addition, commencing on August 31, 2019, THL Credit will provide investor support services to the Fund as part of its advisory relationship and, effective September 14, 2019, the Fund will no longer bear the annual fee of 0.05% of the average daily value of the Fund's Managed Assets paid to Four Wood Capital Partners LLC for those services. THL Credit also will limit, indefinitely, certain non-management expenses borne by the Fund to an amount not to exceed 0.35% per year of the Fund's Managed Assets (pro-rated for the period in 2019 during which THL Credit serves as the Fund's investment adviser). THL Credit also has agreed to bear up to \$500,000 of certain expenses in connection with the transfer of the advisory relationship from FWCA to THL Credit.

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Eagle Point Income Company Inc. (EIC)

8/2/2019 ANNOUNCEMENT

Keywords: OFFERING COMMON

Eagle Point Income Company Inc. (EIC) announced Aug. 2 that it sold an additional 162,114 shares of common stock pursuant to the exercise by the underwriters of the over-allotment option granted to them in connection with its initial public offering of 1,200,000 shares of common stock, which was completed on July 26. The exercise of the over-allotment option resulted in additional net proceeds of approximately \$3.2 million. The release noted that the Eagle Point Income Management LLC, the Company's investment adviser, or its affiliates paid or will pay the full amount of the sales load in connection with this initial public offering, including the over-allotment option, and all of the Company's organizational expenses and offering expenses incurred prior to or in connection with the initial public offering that exceed \$750,000 (excluding the sales load).

IVY HIGH INCOME OPPORTUNITIES FUND (IVH)

8/15/2019	OWNERSHIP	13D/A	Saba Capital Management, L.P.	646,132	3.9%	Decrease
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Selling through 8/14.

LAZARD WORLD DIVIDEND & INCOME FUND (LOR)

8/22/2019 OFFERING

Lazard World Dividend & Income Fund, Inc.(LOR) announced Aug. 22 final results of its one-time tender offer to acquire, in exchange for cash, up to 20% of its outstanding shares of common stock. The Offer expired on August 21, 2019 at 5:00 p.m., New York City time, and was oversubscribed. Based upon information provided by Broadridge, 3,560,562 shares, or approximately 52% of LOR's outstanding shares, were validly tendered, and LOR has accepted for payment 1,376,037 shares, or approximately 20% of LOR's outstanding shares, on a pro rata basis, with appropriate adjustment to avoid purchasing fractional shares. The purchase price for validly tendered shares is \$10.04 per share, which is equal to 98% of LOR's net asset value per share as of the close of regular trading on the NYSE on August 21. The proration factor is 0.3865013, which results in LOR accepting for payment, on a pro-rata basis, approximately 39% of the LOR shares validly tendered by each tendering stockholder. Payment for such shares will be made as promptly as practicable.

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NEUBERGER BERMAN HIGH YIELD STRATEGIES FUND (NHS)

8/28/2019	Not Indicated	DEFC 14A	Saba Capital Management, L.P.			
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Definitive proxy filed.

Nuveen Energy MLP Total Return Fund (JMF)

8/9/2019	ANNOUNCEMENT					
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The Boards of Nuveen Energy MLP Total Return Fund (JMF) and Nuveen All Cap Energy MLP Opportunities Fund (JMLP) approved both an interim investment sub-advisory agreement and a new investment sub-advisory agreement with Tortoise Capital Advisors, L.L.C. The Board determined that the new investment sub-advisory agreement would be presented to the fund's shareholders for approval at an upcoming shareholder meeting. The new investment sub-advisory agreements were approved in connection with a previously announced transaction whereby Tortoise Capital Advisors will acquire the midstream energy business of Advisory Research, Inc. from its current owner, Piper Jaffray Companies. In the transaction, members of the funds' current portfolio management team will join Tortoise Capital Advisors. Consummation of the transaction will result in an assignment and termination of the funds' existing sub-advisory agreements with Advisory Research. The parties have stated that they expect the transaction to be consummated during the third quarter. To assure continuity of sub-advisory services after the transaction, the interim agreement will take effect upon consummation of the transaction. Tortoise Capital Advisors will continue to serve as each fund's subadvisor under the interim agreement until the earlier of 150 days after the closing of the transaction or when shareholders approve the new sub-advisory agreement. If shareholders of a fund do not approve the new sub-advisory agreement prior to the end of the interim period, the Board will take such action as it deems to be in the best interests of the fund. If the transaction is not consummated, Advisory Research will continue to act as subadvisor under the current sub-advisory agreements.

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Nuveen Mortgage Opportunity Term Fund (JLS)

8/30/2019 ANNOUNCEMENT

Nuveen Mortgage Opportunity Term Fund (JLS) and Nuveen Mortgage Opportunity Term Fund 2 (JMT) announced on Aug. 30 that each fund had approved a series of proposals relating to a restructuring of the funds which will allow shareholders the opportunity to maintain their exposure to securitized credit in lieu of the upcoming scheduled termination of each fund. The restructuring of each fund will include:

- An amendment to each fund's charter to eliminate the term.
- A change in each fund's investment objective to generate high current income through opportunistic investments in securitized credit.
- Updated investment policies for each fund to invest at least 65% of managed assets in MBS, including residential MBS and commercial MBS and to invest up to 35% in non-mortgage related asset-backed securities including, but not limited to, consumer, auto, collateralized loan obligations, solar, timeshare, aircraft and catastrophe bonds.
- A change in each fund's name. JLS will be renamed "Nuveen Mortgage and Income Fund" and JMT will be renamed "Nuveen Mortgage and Income Fund 2."
- A new investment management agreement with Nuveen Fund Advisors, LLC that provides for a lower fund-level management fee at each asset level and a new sub-advisory agreement with Teachers Advisors, LLC. Aashh Parekh, Nick Travaglinio, and Steve Virgilio of Teachers Advisors, LLC will serve as portfolio managers for the restructured funds.

Prior to the effectiveness of the restructuring, each fund will conduct a tender offer allowing shareholders to offer up to 100% of their shares for repurchase at NAV. Nuveen expects to announce the tender offers shortly. If the total number of a fund's shares properly tendered are at or below the level set in the Offer to Purchase, the tender offer will be completed and the restructuring proposals described above will be implemented. If the total number of a fund's shares properly tendered in the tender offer are above the level set in the Offer to Purchase, the tender offer will be cancelled with no common shares repurchased, the restructuring proposals will not be implemented and instead, that fund will proceed to terminate as scheduled pursuant to its original term. In the interim period, the funds may not be fully invested in accordance with their investment policies in order to raise liquid assets in anticipation of payments to either tendering shareholders or to all shareholders in liquidation of the funds in connection with their scheduled termination.

Nuveen Ohio Quality Municipal Income Fund (NUO)

8/12/2019	OWNERSHIP	13D	Saba Capital Management, L.P.	1,599,759	8.73%	Increase
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Keywords: SHAREHOLDER PROPOSAL

On August 12, 2019, Saba Capital submitted to the Issuer a notice informing the Issuer of its intention to (i) present a proposal requesting the board of directors of the Issuer (the "Board") take all necessary steps in its power to declassify the Board so that directors are elected on an annual basis starting at the next annual meeting of shareholders (the "Proposal") with such declassification to be completed in a manner that does not affect the unexpired terms of the previously elected directors, and (ii) nominate three persons—Charles Clarvit, Peter Borish, and David Basile—for election to the Board at the 2019 annual meeting of shareholders

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OFS Credit Company, Inc. (OCCI)

8/5/2019	RIGHTS OFFERING	497AD				
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Keywords: RIGHTS OFFERING

OFS Credit Company, Inc. (OCCI) announced Aug. 5 the commencement of a non-transferable rights offering to subscribe for up to 1,254,000 shares of common stock to its stockholders of record on July 31. Record Date Stockholders will receive one non-transferable right for each outstanding share of common stock owned on the Record Date. The rights entitle the holders to purchase one new share of common stock for every two rights held. The subscription price for the common stock offered in the Offering is \$16.50 per share. The Offering will expire on August 23 at 5:00 p.m., New York City time, unless extended. The rights are non-transferable and are not listed for trading on the Nasdaq Capital Market or any other stock exchange. The shares of common stock to be issued pursuant to the Offering will be listed for trading on the Nasdaq Capital Market under the symbol "OCCI." Record Date Stockholders who fully exercise all rights issued to them are entitled to subscribe for additional shares of the Company's common stock, if any, which were not subscribed for by other Record Date Stockholders. The release noted that the Company plans to use the net proceeds from this Offering to acquire investments in accordance with its investment objectives and strategies and for general working capital purposes. Ladenburg Thalmann & Co. Inc., a subsidiary of Ladenburg Thalmann Financial Services Inc. (NYSE American: LTS) is the dealer manager for the Offering. The information agent is D.F. King & Co., Inc., toll-free at (866) 416-0553. Broker-dealers and nominees may call (212) 269-5550.

8/28/2019	RIGHTS OFFERING					
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Keywords: RIGHTS OFFERING

OFS Credit Company, Inc. (OCCI) announced Aug. 28 results of its non-transferable rights offering, which expired on August 27. The Offering is expected to result in the issuance of 556,033 additional shares of the Company's common stock. Affiliates of OFS Capital Management, LLC, investment adviser to the Company, owned approximately 13.1% of the Company's common stock prior to the Offering and fully exercised all rights issued to them in connection with the Offering. Estimated net proceeds after payment of dealer manager fees are expected to be approximately \$8.9 million, before expenses. The Company plans to use the net proceeds from this offering to acquire investments in accordance with its investment objectives and strategies and for general working capital purposes. The subscription price for the Offering was \$16.50 per share. The Company issued to its stockholders of record as of July 31, non-transferable rights to subscribe for up to 1,254,000 shares of the Company's common stock. Record date stockholders received one non-transferable right for each outstanding share of common stock owned on the record date. The rights, which entitled holders to purchase one new share of common stock for every two rights held, were not listed for trading on the Nasdaq Capital Market or any other stock exchange.

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RiverNorth Managed Duration Municipal Income Fund, Inc. (RMM)

8/29/2019 ANNOUNCEMENT

Keywords: OFFERING

RiverNorth Managed Duration Municipal Income Fund, Inc. (RMM) announced Aug. 29 its Board approved a 5.50% level distribution policy based on the Fund's initial public offering price of \$20.00 per share effective September 1, 2019. Accordingly, the Fund is declaring monthly distributions for September, October, November and December 2019. The Board has approved the distributions of \$0.0917 per share of common stock. For more detail, see the full release.

Vertical Capital Income Fund (VCIF)

8/8/2019 PROXY DEFC 14A **BULLDOG INVESTORS**

Keywords: HOSTILE

Bulldog filed a DEFC14A on Aug. 8 regarding the Vertical Capital Income Fund (VCIF) meeting scheduled for August 30, 2019, where a new investment advisory agreement will be voted on. In the proxy was discussion of a strategy to block quorum.

8/21/2019 OWNERSHIP 13D/A **BULLDOG INVESTORS** 619,505 5.97% Increase

Keywords: SPECIAL MEETING

BUYING through 8/20/19.

8/30/2019 OTHER

Keywords: **DISSIDENT**

VCIF announced Aug. 30 the adjournment of its Annual Meeting. The rescheduled Annual Meeting will be held on September 11, 2019, at 10:00 a.m., Eastern Time, at Gemini Fund Services, 80 Arkay Drive, Suite 110 in Hauppauge, New York 11788.

8/31/2019 OWNERSHIP **BULLDOG INVESTORS**

Keywords: **DISSIDENT**

Bulldog Investors, LLC issued a press release on Sept. 3, 2019. Oakline Advisors, LLC currently serves as the Fund's investment adviser under an interim agreement that expires on September 11, 2019, the date to which the meeting was adjourned, unless the agreement is approved by stockholders. Phillip Goldstein, a managing member of Bulldog, which is soliciting proxies against approval of the new investment advisory agreement, commented: "Based upon the most recent voting results from our proxy solicitor, we believe the contract will likely terminate on September 11. We urge the board to (1) immediately announce a contingency plan for managing the

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Fund after September 11, and (2) reconsider whether the Fund should be dissolved." Bulldog holds approximately 9% of the outstanding common stock of the Fund.

WESTERN ASSET GLOBAL HIGH INCOME FUND (EHI)

8/28/2019	ANNOUNCEMENT	PREC 14A	Saba Capital Management, L.P.
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Keywords: OFFERING

Preliminary proxy.

WESTERN ASSET HIGH INCOME FUND II INC (HIX)

8/28/2019	ANNOUNCEMENT	PREC 14A	Saba Capital Management, L.P.
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Preliminary proxy.

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Tender Offers by Closed-End Funds

Fund Name (Following Pressure from Activists Shown with Grey Background)	TIC	Source	Expiration Date (SORTED)	Shares	Shares Purchased (% O/S)	Amount Tendered % O/S	Proration Factor	Total Value of Shares Purchased (\$ Millions)	Purchase Price Per Share	Price as % of NAV or Liquidation Preference
Swiss Helvetia Fund, Inc. (Bulldog Investors)	SWZ	PRE14A	contingent	COM	Up to 15%	contingent upon prior shareholder approval of proposals				95.00%
Nuveen Mortgage Opportunity Term Fund	JLS	PR 5/23	contingent	COM	Up to 100%	contingent upon prior shareholder approval of proposals				100.00%
Nuveen Mortgage Opportunity Term Fund 2	JMT	PR 5/23	contingent	COM	Up to 100%	contingent upon prior shareholder approval of proposals				100.00%
Invesco Senior Income Trust	VVR	PR 6/14	TBD	COM	Up to 15%	to commence prior to Jan. 1, 2020.				98.50%
Invesco Dynamic Credit Opp. Fund	VTA	PR 6/14	TBD	COM	Up to 15%	to commence prior to Jan. 1, 2020.				98.50%
Invesco High Income Trust II	VLT	PR 6/14	TBD	COM	Up to 20%	to commence prior to Jan. 1, 2020.				98.50%
Lazard World Dividend & Income Fund, Inc.	LOR	PR 7/25	08/21/19	COM	20.0%	52.00%	38.65%	\$13.82	\$10.04	98.00%
Delaware Enhanced Global Dividend and Income Fund	DEX	PR 7/3	06/27/19	COM	5.0%	26.38%	18.96%	\$6.88	\$10.89	98.00%
Aberdeen Emerging Markets Equity Income Fund, Inc.	AEF	PR 6/21	06/17/19	COM	15.00%	65.10%	23.06%	\$70.40	\$7.86	98.00%
Eaton Vance Municipal Bond Fund	EIM	PR 3/27	05/17/19	COM	10.0%	37.82%	26.44%	\$119.96	\$13.374	98.00%
BlackRock Debt Strategies Fund, Inc.	DSU	PR 3/15	04/15/19	COM	5.0%	60.50%	12.10%	\$31.61	\$11.99	98.00%
High Income Securities Fund	PCF	PR 3/19	03/18/19	COM	56.96%	56.96%	100.00%	\$68.18	\$9.25	99.00%
Nuveen Taxable Municipal Income Fund	NBB	PR 1/2	02/12/18	COM	20.0%	36.55%	54.72%	\$142.68	\$20.8631	100.00%
The China Fund, Inc.	CHN	PR 1/14	02/05/19	COM	30.0%	73.69%	40.71%	\$97.21	\$20.61	99.00%
TOTAL (2019)	8							\$550.73		
TOTAL (2018)	25							\$3,064.37		

* Excludes interval funds and BDCs. Updated through 3 Sept. 2019

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Rights Offerings by Closed-End Funds

TIC	Fund	Expiration Date (SORTED)	Date Announced	Shares	Transferable?	Rights Received (Per Share)	Subscription Price (New Share for #)	Final Subscription Price	Value/Gross Proceeds (\$Millions)
OCCI	OFS Credit Company, Inc.	8/27/2019	8/5/2019	COM	NO	1 for 1	1 for 2	\$16.50	\$8.90
GLQ	Clough Global Equity Fund	8/23/2019	7/8/2019	COM	YES	1 for 1	1 for 5	\$11.24	\$24.79
GLV	Clough Global Dividend and Income Fund	8/23/2019	7/8/2019	COM	YES	1 for 1	1 for 5	\$10.42	\$14.60
SZC	Cushing® Renaissance Fund	7/18/2019	6/7/2019	COM	YES	1 for 1	1 for 3	\$13.15	\$34.21
NHF	Nexpoint Strategic Opportunities Fund	5/22/2019	4/11/2019	COM	NO	1 for 1	1 for 3	\$17.77	\$239.87
RCG	RENN Fund, Inc.	2/8/2019	12/19/2018	COM	NO	1 for 1	1 for 3	\$1.47	\$2.19
2019							TOTALS	6	\$324.6
GLU	Gabelli Global Utility & Income Trust	12/14/2018	11/1/2018	COM	YES	1 for 1	(1 com + 1 Ser B PFD) for 3 + \$67.50	\$67.50	\$85.0
RIV	RiverNorth Opportunities Fund, Inc.	11/1/2018	9/18/2018	COM	YES	1 for 1	1 for 3	\$16.93	\$30.3
ASG	Liberty All-Star Growth Fund	10/31/2018	10/17/2018	COM	NO	1 for 1	1 for 3	\$4.81	\$44.3
FTF	Franklin Limited Duration Income Trust	10/18/2018	9/13/2018	COM	YES	1 for 1	1 for 3	\$8.99	\$67.8
GCV	Gabelli Convertible and Income Securities Fund	10/17/2018	8/22/2018	COM	YES	1 for 1	1 for 3 + \$5.25	\$5.25	\$22.7
CLM	Cornerstone Strategic Value Fund, Inc.	7/20/2018	6/7/2018	COM	NO	1 for 1	1 for 3	\$13.46	\$363.0
CRF	Cornerstone Total Return Fund, Inc.	7/20/2018	6/7/2018	COM	NO	1 for 1	1 for 3	\$13.09	\$249.0
NTG	Tortoise MLP Fund, Inc.	7/18/2018	6/7/2018	COM	YES	1 for 1	1 for 3	\$14.64	\$231.3
RVT	Royce Value Trust, Inc.	7/3/2018	5/18/2018	COM	NO	1 for 1	1 for 10	\$15.33	\$109.16
NHF	Nexpoint Strategic Opportunities Fund	5/29/2018	4/10/2018	COM	NO	1 for 1	1 for 3	\$21.30	\$202.24
GUT	Gabelli Utility Trust	5/15/2018	3/19/2018	COM	YES	1 for 1	1 for 5 + \$5.50	\$5.50	\$48.5
SZC	Cushing Renaissance Fund	3/22/2018	2/8/2018	COM	YES	1 for 1	1 for 3	\$14.88	\$29.04
GDL	The GDL Fund	3/20/2018	2/5/2018	PFD	NO	1 for 1	1 + \$50 (or 1 Pfd)	\$50.00	\$45.2
DNI	Dividend And Income Fund	1/26/2018	12/26/2017	COM	NO	1 for 1	1 for 3	\$14.20	\$23.5
2018							TOTALS	14	\$1,551.0
2017							TOTALS	10	\$1,255.4
2016							TOTALS	3	\$222.5

Sources: fund press releases and filings. Updated through 3 Sept. 2019.

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Closed-End Fund IPOs*



TIC/Qtr	Fund Name	Investment Focus	IPO Price Per Share	Gross Proceeds (Millions)
FINS	Angel Oak Financial Strategies Income Term Trust	Debt-Specialty	\$20.00	\$215.0
BSTZ	BlackRock Science and Technology Trust II	Equity-Specialty	\$20.00	\$1,400.0
EIC	Eagle Point Income Company Inc.	Other - CLOs	\$19.89	\$27.09
HGLB	Highland Global Allocation Fund	Asset Allocation	Conversion	\$0.0
NRGX	PIMCO Energy and Tactical Credit Opportunities Fund	Other	\$20.00	\$800.0
RMM	RiverNorth Managed Duration Municipal Income Fund, Inc.	Debt-Muni	\$20.00	\$365.0
RSF	RiverNorth Marketplace Lending Corporation	Debt-Loans/Floating Rate	Listed	\$0.0
TEAF	Tortoise Essential Assets Income Term Fund	Equity-Infrastructure/Utilities	\$20.00	\$260.0
VCIF	Vertical Capital Income Fund	Debt-Mortgage	Listed	\$0.0
2019			2019 TOTAL	\$3,067.1
JHAA	Nuveen High Income 2023 Target Term Fund	Debt-Corp High Yield	\$10.00	\$70.0
OCCI	OFS Credit Company, Inc.	Other - CLOs	\$20.00	\$50.0
RMI	RiverNorth Opportunistic Municipal Income Fund, Inc.	Debt-Muni	\$20.00	\$119.0
2018			2018 TOTAL	\$239.0
			2017 TOTAL	\$1,362.5
			2016 TOTAL	\$1,826.9
			2015 TOTAL	\$2,389.2
			2014 TOTAL	\$4,348.2
			2013 TOTAL	\$15,202.4

* Listed CEFs only. Excludes continuously offered funds. Updated to 30 Aug 2019.

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