



Insight
Q1 Updates

March 2022





All Account Details are now available on EQ Insight



Certificate & Book, Sales, and Dividend details added to Account Summary - no more toggling between EQ Insight and Shareowner Client Connect.

- Access from the Issue Summary page
 - Certificate & DRS Share History
 - Sales History
 - Dividend History
- Account Summary Report and Certified Shareholder List added in January
 - Account Summary Report displays complete history account in an enhanced format
 - Streamlined process for requesting and receiving Certified Shareowner List

Click arrow to access Issue Summary

Select option from View Details Menu



Issue Summary

Issue Number & Name	Company Name	Certificate	DRS/Book	Plan	Totals	
997P - XYZ Sample Company	XYZ SAMPLE COMPANY	0.000	0.000	0.000	0.000	>
9997 - XYZ Sample Company	XYZ SAMPLE COMPANY	75.000	31.000	19.339	125.339	>
Totals		75.000	31.000	19.339	125.339	

Issue Summary

[Back To Account Summary](#)

Issue Details for ADR1 - Anything

Account Number 400225XXXX

View Details Menu

- Transaction History
- ACH Details
- Certificate And DRS Share History
- Cost Basis
- Dividend Earning Summary
- Plan Share History
- Sales Earning Summary
- Transaction History

Issue Number And Security Type

ADR1 - Anything

Equiniti Ltd

Certificate & DRS Share History

See dates and amounts of specific book lots and certificate numbers

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Certificate and DRS Share History

Current Certificate Share Balance (DRS + Certificate) **9,575.250**

Summary Total for Certificate **3.000**

Summary Total for DRS **9,572.250**

Filter: Book Lot / Certificate Number Issue Transaction Type Transaction Date

Sort by:

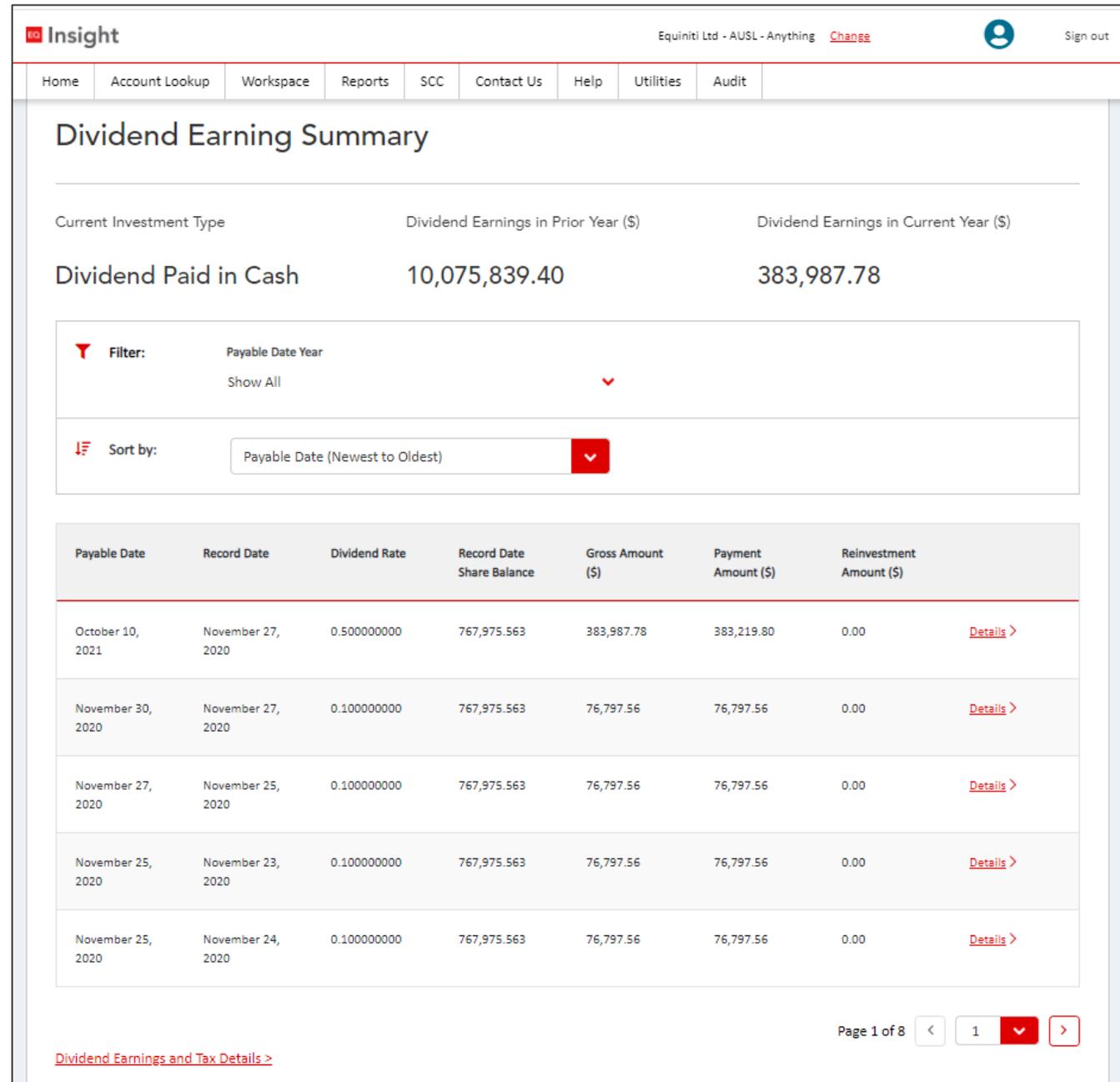
Transaction Date	Effective Date	Book Lot / Certificate Number	Issue Transaction Type	Original Number of Shares	Remaining Number of Shares	Surrender Date	Surrender Transaction Type
July 11, 2017	July 10, 2017	BOOK000024	Stock Dividend	350.000	350.000		Details >
July 11, 2017	July 10, 2017	BOOK000025	Stock Dividend	500.000	500.000		Details >
July 11, 2017	July 10, 2017	BOOK000026	Stock Dividend	350.000	350.000		Details >
July 11, 2017	July 10, 2017	BOOK000027	Stock Dividend	500.000	500.000		Details >
July 11, 2017	July 10, 2017	BOOK000028	Stock Dividend	1.000	1.000		Details >

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Dividend Earning Summary

Details of all dividend earnings are displayed.



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Dividend Earning Summary

Current Investment Type Dividend Earnings in Prior Year (\$) Dividend Earnings in Current Year (\$)

Dividend Paid in Cash 10,075,839.40 383,987.78

Filter: Payable Date Year
Show All

Sort by: Payable Date (Newest to Oldest)

Payable Date	Record Date	Dividend Rate	Record Date Share Balance	Gross Amount (\$)	Payment Amount (\$)	Reinvestment Amount (\$)	
October 10, 2021	November 27, 2020	0.500000000	767,975.563	383,987.78	383,219.80	0.00	Details >
November 30, 2020	November 27, 2020	0.100000000	767,975.563	76,797.56	76,797.56	0.00	Details >
November 27, 2020	November 25, 2020	0.100000000	767,975.563	76,797.56	76,797.56	0.00	Details >
November 25, 2020	November 23, 2020	0.100000000	767,975.563	76,797.56	76,797.56	0.00	Details >
November 25, 2020	November 24, 2020	0.100000000	767,975.563	76,797.56	76,797.56	0.00	Details >

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[Dividend Earnings and Tax Details >](#)



Sales Earning Summary

Details of all sales earnings are displayed.



Sales Earning Summary

Prior Year Earnings (\$)	Current Year Earnings (\$)
2035.18	1552.79

Sort by: Issued Date (Newest to Oldest)

Issued Date	Gross Amount (\$)	Tax Withheld (\$)	Fees Paid By	Fee (\$)	Commission (\$)	Payment Type	Net Amount (\$)
January 5, 2022	2050.18	0.00	Shareholder	15.00	0.00	Check	2035.18
March 2, 2021	1.00	0.00	Shareholder	0.88	0.12	Check	0.00
March 2, 2021	1.00	0.00	Shareholder	0.88	0.12	Check	0.00
March 2, 2021	1.00	0.00	Shareholder	0.88	0.12	Check	0.00



New Reports

Account Summary Report and Certified Shareholder List added in January

Report List

Shareholder Reporting	
Shareholder Account Reports	
Shareholder List >	Certified Shareholder List >
Insider Accounts >	Undeliverable Shareholders >
New Accounts >	Blackout Date History >
Restricted Shares >	Restricted Accounts Report >
Uncashed Check Report >	Shareholder Sales and Proceeds Report >
Custom Shareholder List >	
Individual Shareholder Account Summary	
Account Summary >	

Account Summary Report

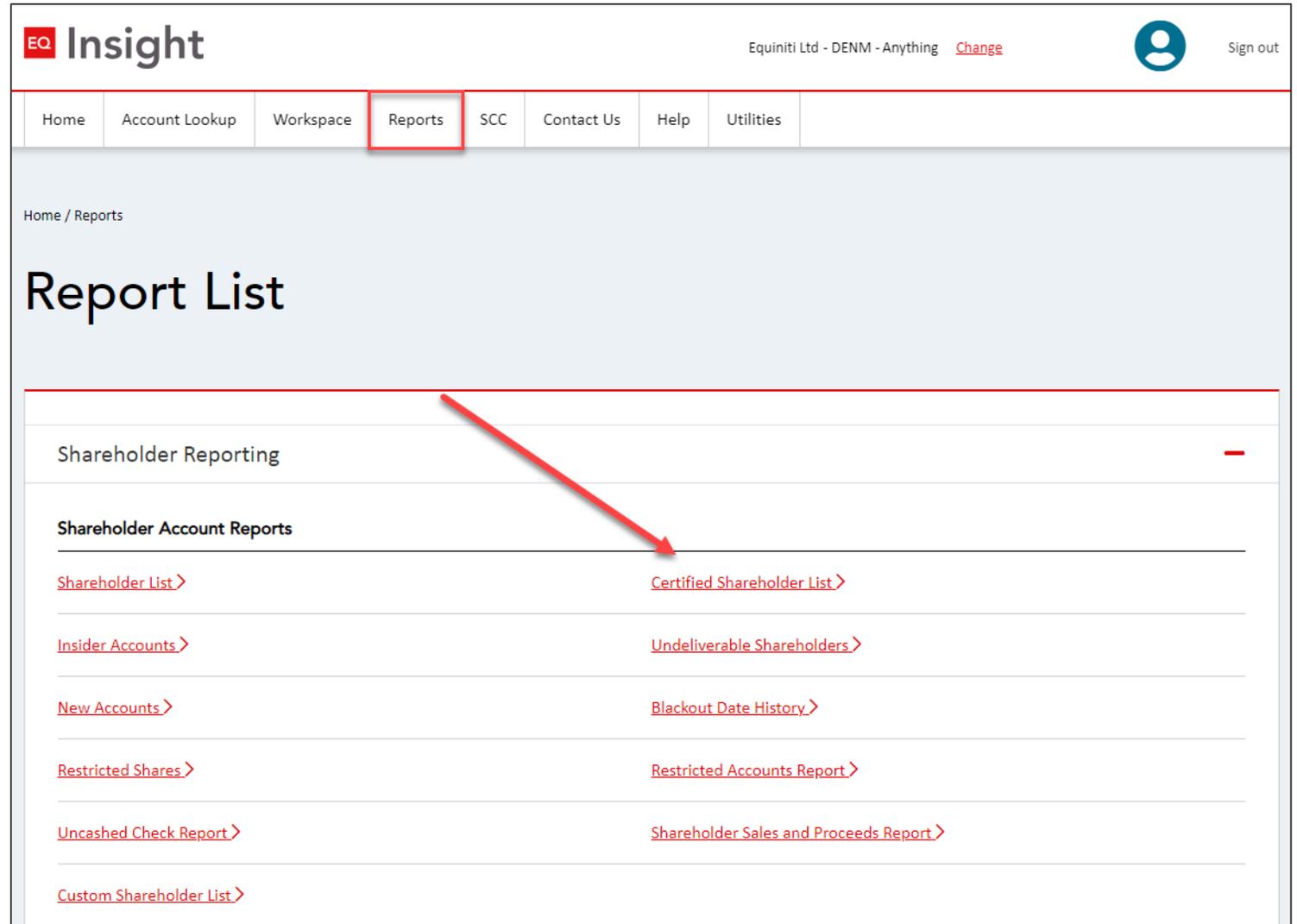
The enhanced report now includes a complete account history including transactions, sales, dividends, and cost basis.



EQ by Equiniti		Account Summary	
Account Details:			
Company Name:	ADR TEST		
Issue Number:	ADR1		
CUSIP Number:	949746101		
Shareholder Short Name:	Shareowner,Sally		
Account Number:	400223XXXX		
Employee Number:			
Total Shares:	278,154.373		
Certificate Shares:	0.000		
Investment/Plan Shares:	243,029.373		
Book Entry/DRS Shares:	35,125.000		
Name/Address:	Shareowner,Sally 1141 Any Street, Anytown, AZ 12345		

Certified Shareholder List from Reports Menu

Select the 'Certified Shareholder List' to submit a request



The screenshot displays the EQ Insight web application interface. At the top, the navigation bar includes the EQ logo, the text "Insight", and the user information "Equiniti Ltd - DENM - Anything" with a "Change" link. A "Sign out" button is located in the top right corner. Below the navigation bar, a menu contains several items: "Home", "Account Lookup", "Workspace", "Reports", "SCC", "Contact Us", "Help", and "Utilities". The "Reports" menu item is highlighted with a red box. Below the menu, the breadcrumb "Home / Reports" is visible. The main heading is "Report List". Underneath, there are two main sections: "Shareholder Reporting" and "Shareholder Account Reports". A red arrow points from the "Reports" menu item to the "Certified Shareholder List" link within the "Shareholder Account Reports" section. Other links in the "Shareholder Account Reports" section include "Shareholder List", "Insider Accounts", "New Accounts", "Restricted Shares", "Uncashed Check Report", "Custom Shareholder List", "Undeliverable Shareholders", "Blackout Date History", "Restricted Accounts Report", and "Shareholder Sales and Proceeds Report".

Certified Shareholder list from Reports Menu

Enter your parameters including date, delivery timing, and format

 **Insight** Equiniti Ltd - DENM - Anything [Change](#)  [Sign out](#)

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[Home](#) / [Reports](#) / [Certified Shareholder List](#)

Certified Shareholder List

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Corporate Selection *	Issue Number *	Date As Of *	Custom Date
<input type="text" value="Select"/> 	<input type="text" value="Select"/> 	<input type="text" value="Select"/> 	<input type="text" value="mm/dd/yyyy"/> 
Delivery Timing * 	List Type *	Format *	
<input type="text" value="Select"/> 	<input type="text" value="Whole List"/> 	<input type="text" value="Select"/> 	

*Required fields must be completed.

[Clear Parameters](#) [Request](#)

Certified Shareholder list from Reports Menu

You will be notified by email when the report has been uploaded to your account. Simply access your report through the notifications link in your Avatar or by clicking 'View Received Files' on the Workspace tab.

EQ Insight



The screenshot displays the EQ Insight web application interface. At the top left is the 'EQ Insight' logo. To the right, it shows 'XYZ SAMPLE COMPANY - 9997 - COMMON' with a 'Change' link. Further right is a user profile icon with a notification badge and a 'Sign out' link. Below this is a horizontal navigation menu with tabs: Home, Account Lookup, Workspace, Reports, SCC, Contact Us, Help, and Utilities. The 'Reports' tab is highlighted. Below the navigation menu, a large banner area displays 'Welcome, Michael'. On the right side, a user profile dropdown menu is open, showing the name 'MICHAEL MURPHY' and three options: 'Notifications' with a red badge showing '51', 'Account Profile', and 'Two-step Verification'. Each option has a right-pointing arrow.

Certified Shareholder list from Workspace



The screenshot displays the EQ Insight Workspace interface. The top navigation bar includes 'Home', 'Account Lookup', 'Workspace' (highlighted with a red box), 'Reports', 'SCC', 'Contact Us', 'Help', and 'Utilities'. The main content area is titled 'Workspace' and features a 'File Transfer' section. This section includes a 'Send File' button and a 'Transfer History' button. The 'Recent Activity' table lists the following data:

Date Submitted	Status	File Name
February 8, 2022	Sent	Certified Shareholder List.pdf
February 8, 2022	Sent	Deb Test.docx
February 8, 2022	Sent	Certified Shareholder List.xlsx
February 5, 2022	Sent	Deb Test.docx
February 5, 2022	Sent	AccountSummary-4001831194-9997 (1).xlsx

Below the File Transfer section are four other functional areas: 'Payment Order', 'Broker Transfer', 'Stock Issuance', and 'Blackout Dates'. Each area has a 'Create Request' button and a 'View History' button. A red arrow points from the 'Create Request' button in the 'Certified Reports' section to the 'Stock Issuance (SCC)' button in the 'Stock Issuance' section.

Certified Shareholder List - Retrieve when Ready



EQ Insight XYZ SAMPLE COMPANY - 997P - ESPP [Change](#) Sign out

Home Account Lookup **Workspace** Reports SCC Contact Us Help Utilities

select send a file.

Recent Activity

Date Submitted	Status	File Name
January 10, 2022	Sent	Issuance Book layout Revised 12172018.xls
December 23, 2021	Sent	Certified Shareowner.xls
November 22, 2021	Sent	Online stats.pdf
October 28, 2021	Sent	Work Order.docx
+ October 14, 2021	Sent	3 files

[View Received Files](#)

Received Files Received Files

Sync

Received Files for UserId 2044

Name	Original Name	Subtitle	Date Created	Sender
Certified List.xls	Certified List.xls		7/30/2021 10:42 AM	eqss-estategyproductsupport@equiniti.com
Vesting notice.docx	Vesting Notice.docx		7/14/2021 11:27 AM	eqss-estategyproductsupport@equiniti.com
Work Order.pdf	Work Order.pdf		7/14/2021 11:27 AM	eqss-estategyproductsupport@equiniti.com
Shareholder List.xls	Shareholder list.xls	file transfer	8/18/2020 7:23 AM	EQSS-eStrategyProductSupport@equiniti.com



 **Insight**

Thank you

For further support or to provide feedback on EQ Insight, please contact our support team:

Email: EQInsight.Support@equiniti.com | **Telephone:** 1-833-410-2488 (toll free)

Please note: Our call center is available Monday through Friday, 8:00am-4:00pm Central Time